

Table S-13

**Federal Probation System - Offenders Receiving Judiciary-Funded Substance Abuse Treatment
For the 12-Month Period Ending September 30, 2020**

Circuit	Offenders Under Supervision With Substance Abuse Conditions ¹	Offenders Receiving Judiciary-Funded Substance Abuse Treatment	Federal Judiciary Substance Abuse Treatment Expenditures ²	
			Total Expenditures	Average Expenditure per Offender
TOTAL	72,918	21,509	\$25,106,229	\$1,364
DC	409	174	\$143,500	\$825
FIRST	1,701	592	\$1,913,239	\$3,232
SECOND	5,326	1,161	\$2,356,206	\$2,029
THIRD	4,104	328	\$639,585	\$1,950
FOURTH	10,359	3,191	\$3,160,907	\$991
FIFTH	9,306	4,221	\$3,708,347	\$879
SIXTH	5,814	1,531	\$1,537,150	\$1,004
SEVENTH	4,112	1,192	\$1,257,058	\$1,055
EIGHTH	6,252	1,998	\$1,940,471	\$971
NINTH	13,321	3,314	\$4,525,194	\$1,365
TENTH	3,758	1,647	\$1,760,348	\$1,069
ELEVENTH	8,456	2,160	\$2,164,224	\$1,002

NOTE: In 2005, data included judiciary-funded substance abuse treatment and testing.

1 Includes all offenders on active supervision on the last day of the 12-month period in which substance abuse treatment conditions were in effect.

2 Excludes expenditures by local, county and state governments.

Table S-14

**U.S. District Courts - Pretrial Services Defendants Receiving Judiciary-Funded Substance Abuse Treatment
For the 12-Month Period Ending September 30, 2020**

Circuit	Defendants in Pretrial Services With Substance Abuse Conditions ¹	Defendants Receiving Judiciary-Funded Substance Abuse Treatment	Federal Judiciary Substance Abuse Treatment Expenditures ²	
			Total Expenditures	Average Expenditure per Defendant
TOTAL	26,566	5,532	\$9,780,939	\$1,832
DC	0	0	\$0	\$0
FIRST	1,099	172	\$485,794	\$2,824
SECOND	2,618	317	\$731,656	\$2,308
THIRD	2,105	183	\$665,075	\$3,634
FOURTH	2,593	592	\$807,337	\$1,364
FIFTH	3,508	1,003	\$732,263	\$730
SIXTH	2,249	366	\$371,312	\$1,015
SEVENTH	1,209	224	\$385,406	\$1,721
EIGHTH	2,664	580	\$696,421	\$1,201
NINTH	5,181	1,342	\$4,010,932	\$2,989
TENTH	1,498	415	\$516,922	\$1,246
ELEVENTH	1,842	338	\$377,821	\$1,118

NOTE: In 2005, data included judiciary-funded substance abuse treatment and testing.

1 Includes all defendants on active supervision on the last day of the 12-month period in which substance abuse treatment conditions were in effect.

2 Excludes expenditures by local, county and state governments.

**Table S-17. Matters Disposed of by U.S. Magistrate Judges
For the 10 - Year Period Ended September 30, 2020**

Activity	2011 [5]	2016 [5]	2017 [5]	2018 [5]	2019 [5]	2020
Total Matters	1,331,504	1,138,488	1,143,950	1,282,031	1,389,682	1,195,889
Additional Duties	576,325	567,452	568,427	590,822	624,380	607,155
Criminal	205,926	191,952	193,272	214,629	244,404	228,965
Non-Dispositive Motions	103,607	103,394	103,242	117,989	141,370	142,505
Dispositive Motions (R&Rs)	3,021	3,949	3,649	3,893	3,675	3,093
Pretrial Conferences	46,209	27,765	27,347	29,108	30,937	27,457
Evidentiary Hearings	2,258	1,864	1,905	1,947	1,942	1,494
Motion Hearings/Arguments	6,925	6,180	6,678	7,417	7,760	6,728
Guilty Plea Proceedings	32,682	29,450	28,790	32,220	34,964	28,584
Probation Revocation Hearings	192	260	337	258	192	152
Supervised Release Revocation Hearings	1,773	2,496	2,922	2,912	2,976	2,528
Reentry/Drug Court Proceedings [1]	0	7,395	8,246	7,644	7,461	7,031
Other [2]	9,259	9,199	10,156	11,241	13,127	9,393
Civil	344,537	349,076	348,549	349,422	354,245	352,889
Non-Dispositive Motions	214,305	215,288	214,864	220,133	226,614	228,254
Dispositive Motions (R&Rs)	13,953	14,705	15,301	16,209	16,201	15,540
Social Security Appeal R&Rs	4,312	4,681	4,708	4,931	4,409	3,478
Settlement Conferences/Mediations	20,332	22,188	21,250	21,199	19,323	18,894
Other Pretrial Conferences	54,556	57,744	58,571	53,715	54,268	53,633
Evidentiary Hearings	1,128	1,219	1,254	1,121	1,065	853
Motion Hearings/Arguments	13,084	10,303	9,878	9,484	9,892	8,260
Special Masterships	290	1	0	1	0	0
Other [3]	22,577	22,947	22,723	22,629	22,473	23,977
Prisoner Litigation	25,862	26,424	26,606	26,771	25,731	25,301
State Habeas R&Rs	9,563	7,923	7,237	7,178	6,859	6,925
Federal Habeas R&Rs	3,040	3,724	4,373	3,754	3,316	3,146
Civil Rights R&Rs	12,790	14,306	14,545	15,443	15,267	15,047
Evidentiary Hearings	469	471	451	396	289	183
Civil Consent	13,979	16,253	17,011	17,072	17,867	16,522
Without Trial	13,520	15,885	16,675	16,750	17,584	16,356
Jury Trial	307	263	232	223	186	101
Nonjury Trial	152	105	104	99	97	65

Table S-17. (September 30, 2020 —Continued)

Activity	2011	2016	2017	2018	2019	2020
Criminal Trial Jurisdiction	117,770	88,494	77,524	117,243	127,306	58,771
Class A Misdemeanors	10,689	6,997	5,741	5,346	4,694	3,276
Petty Offenses	107,081	81,497	71,783	111,897	122,612	55,495
Felony Preliminary Proceedings	444,673	410,088	423,005	486,337	539,397	452,502
Search Warrants	52,039	76,229	84,943	98,835	115,336	114,715
Arrest Warrants/Summonses	55,063	48,109	51,330	57,387	60,970	54,226
Complaints	57,076	43,862	43,370	60,215	71,071	50,293
Initial Appearances	107,404	94,627	96,733	107,570	116,173	94,237
Detention Hearings	52,822	47,393	47,620	52,808	57,792	46,483
Preliminary Exams	25,297	24,682	22,767	25,013	27,289	21,567
Arraignments	71,183	54,376	55,270	60,862	63,810	48,598
Bail Reviews/Nebbia Hearings	8,813	8,380	8,365	9,128	10,069	9,544
Attorney Appointment	11,383	6,378	6,219	6,495	8,125	6,432
Material Witness	3,593	6,052	6,388	8,024	8,762	6,407
Miscellaneous Matters [4]	178,757	56,201	57,983	70,557	80,732	60,939

Note: R&Rs = reports and recommendations.

[1] The reentry/drug court proceedings category was added in 2013.

[2] Category includes writs and mental competency hearings.

[3] Category includes fee applications and summary jury trials. In 2006, early neutral evaluations were added. In 2012, fee applications and grants of in forma pauperis waivers, including those occurring in prisoner and social security appeals, were added.

[4] Due to a reporting problem, beginning in 2011, CJA fee applications, a component of miscellaneous matters, are estimated at the 2009 level. Beginning in 2013, orders on nondispositive motions are included in the total.

[5] Revised

Table S-18
Three-Judge District Court Hearings, by Nature of Suit,
During the 12-Month Periods Ending September 30, 2016 Through 2020

Nature of Suit	2016	2017	2018	2019	2020
Total	7	9	11	4	3
Apportionment	3	7	11	4	1
Civil Rights	4	2	-	-	1
Education	-	-	-	-	-
Employment	-	-	-	-	-
Public Accommodation	-	-	-	-	-
Other Civil Rights	2	2	-	-	1
Voting and Election Laws	2	-	-	-	-
Constitutionality of Other State Statutes	-	-	-	-	-
Other	-	-	-	-	1

Table S-19.
Cases Transferred by Order of the Judicial Panel on Multidistrict Litigation,
Cumulative From September 1968 Through September 30, 2020

Circuit and District	12 Months Ending September 30, 2020		Cumulative 1968 - 2020		Total Pending in Transferee District
	Into District	Out of District	Into District	Out of District	
Total	4,210	4,210	278,245	278,245	327,204
DC	49	253	2,440	2,227	226
1st	30	105	4,579	7,340	3,413
ME	-	7	132	911	-
MA	28	51	2,162	4,917	1,048
NH	2	13	183	486	2,365
RI	-	29	2,032	694	-
PR	-	5	70	332	-
2nd	37	405	11,776	22,713	1,100
CT	-	20	532	2,601	-
NY,N	-	40	14	1,164	-
NY,E	3	131	3,340	5,146	104
NY,S	34	87	7,862	11,555	980
NY,W	-	125	28	2,091	16
VT	-	2	-	156	-
3rd	847	417	132,178	19,637	38,905
DE	3	36	192	944	41
NJ	741	268	4,899	6,930	36,401
PA,E	99	74	126,518	9,549	2,363
PA,M	4	11	219	801	89
PA,W	-	28	350	1,271	11
VI	-	-	-	142	-
4th	95	207	10,774	31,984	2,112
MD	3	35	1,745	3,280	880
NC,E	-	15	136	1,382	-
NC,M	-	17	19	1,102	-
NC,W	19	10	134	1,949	20
SC	24	56	2,335	4,092	771
VA,E	49	24	253	11,950	51
VA,W	-	17	3	1,200	-
WV,N	-	12	38	394	-
WV,S	-	21	6,111	6,635	390

Table S-19. (September 30, 2020—Continued)

Circuit and District	12 Months Ending September 30, 2020		Cumulative 1968 - 2020		Total Pending in Transferee District
	Into District	Out of District	Into District	Out of District	
5th	56	269	18,108	40,050	38,747
LA,E	30	73	11,482	3,190	30,814
LA,M	-	31	8	1,368	-
LA,W	-	34	419	2,520	-
MS,N	-	20	6	1,343	-
MS,S	-	23	27	6,189	-
TX,N	26	14	2,224	3,879	7,906
TX,E	-	16	3,449	8,066	-
TX,S	-	47	470	11,867	27
TX,W	-	11	23	1,628	-
6th	377	232	13,910	59,996	13,349
KY,E	-	22	519	1,071	258
KY,W	-	30	616	1,345	1
MI,E	1	38	701	2,425	177
MI,W	-	20	22	358	-
OH,N	312	47	6,253	49,596	3,651
OH,S	64	37	5,070	1,884	9,262
TN,E	-	18	35	1,165	-
TN,M	-	7	635	1,004	-
TN,W	-	13	59	1,148	-
7th	273	260	8,329	13,717	11,368
IL,N	136	92	4,954	3,857	3,967
IL,E ¹	-	-	-	9	-
IL,C	-	12	46	1,417	-
IL,S	-	13	1,249	1,666	-
IN,N	-	6	628	1,897	39
IN,S	137	114	1,319	3,072	7,362
WI,E	-	14	95	1,267	-
WI,W	-	9	38	532	-
8th	97	812	23,754	24,965	736
AR,E	62	33	9,753	962	64
AR,W	-	11	15	488	-
IA,N	-	23	4	553	-
IA,S	-	18	26	1,421	-
MN	6	366	10,902	14,281	556
MO,E	10	296	2,713	3,630	53
MO,W	19	13	336	1,933	63
NE	-	44	5	742	-
ND	-	8	-	429	-
SD	-	-	-	526	-

Table S-19. (September 30, 2020—Continued)

Circuit and District	12 Months Ending September 30, 2020		Cumulative 1968 - 2020		Total Pending in Transferee District
	Into District	Out of District	Into District	Out of District	
9th	1,644	624	14,552	26,563	9,385
AK	-	5	-	318	-
AZ	-	53	556	2,021	2,083
CA,N	1,578	122	8,261	5,281	5,551
CA,E	-	67	12	1,940	-
CA,C	66	187	2,315	8,059	332
CA,S	-	38	950	2,813	1,378
HI	-	14	14	645	-
ID	-	13	13	556	-
MT	-	4	4	461	-
NV	-	44	219	1,251	-
OR	-	5	57	1,284	41
WA,E	-	15	4	403	-
WA,W	-	55	2,147	1,487	-
GUAM	-	2	-	40	-
NMI	-	-	-	4	-
10th	2	158	4,513	8,344	78
CO	-	37	141	1,737	-
KS	2	31	3,863	1,202	41
NM	-	16	18	1,072	23
OK,N	-	8	31	1,120	14
OK,E	-	12	7	302	-
OK,W	-	41	234	1,283	-
UT	-	11	35	1,458	-
WY	-	2	184	170	-
11th	703	468	33,332	20,709	207,785
AL,N	1	40	26,721	3,088	59
AL,M	-	32	19	853	-
AL,S	-	17	25	832	-
FL,N	337	31	1,366	1,094	203,336
FL,M	-	188	1,540	5,179	78
FL,S	305	71	1,645	4,103	765
GA,N	60	58	1,595	2,947	3,547
GA,M	-	14	418	815	-
GA,S	-	17	3	1,798	-

¹ No longer a separate district.

Table S-20.
Cumulative Summary of Multidistrict Litigation
During the 12-Month Periods Ending September 30, 2018 Through 2020

	Cumulative Totals as of Sept. 30, 2018	12-Months Ending Sept. 30, 2019	Cumulative Totals as of Sept. 30, 2019	12-Months Ending Sept. 30, 2020	Cumulative Totals as of Sept. 30, 2020
Total Actions Subjected to					
28 U.S.C. § 1407 Proceedings	673,104	49,042	722,146	231,495	953,641
Actions Transferred	268,446	5,589	274,035	4,210	278,245
Actions Originally Filed in Transferee Districts	404,658	43,453	448,111	227,285	675,396
Total Terminations	519,191	68,493	587,684	38,753	626,437
Actions Terminated by Transferee Courts	502,463	68,303	570,766	38,567	609,333
Actions Remanded by the Panel	16,728	190	16,918	186	17,104
Total Actions Presently Pending and					
Subjected to 28 U.S.C. § 1407 Proceedings	156,511	-	134,462	-	327,204

Table S-21.
Representations by Federal Defender Organizations During the 12-Month Periods
Ending September 30, 2016 Through 2020

Representations	2016	2017	2018	2019	2020	Percent Change 2019-2020
Offices in Operation	81	81	81	81	81	0.0
Total Representations						
Opened	161,540	112,661	148,158	162,362	113,686	-0.3
Closed	148,794	127,414	156,205	152,545	108,921	-0.3
Pending September 30	73,493	58,445	50,108	59,767	64,226	0.1
Criminal Representations						
Opened	69,059	61,895	97,252	103,513	61,562	-0.4
Closed	67,160	61,127	97,243	99,007	62,528	-0.4
Pending September 30	26,283	27,065	26,968	31,407	30,287	0.0
Other Representations ¹						
Opened	92,481	50,766	50,906	52,352	46,303	-0.1
Closed	81,634	66,287	58,962	46,752	39,682	-0.2
Pending September 30	47,210	31,380	23,140	20,211	26,692	0.3

¹ Includes appeals, probation/parole revocation hearings, and motions to correct or reduce sentence.

Table S-22
Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364
During the Period from 10/1/2019 to 9/30/2020

Summary of Activity	Circuits											National Courts				
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Complaints Pending on 9/30/2019¹	606	9	24	37	64	25	91	50	79	9	20	30	68	96	4	0
Complaints Filed	1,256	41	30	29	113	69	145	145	123	106	78	121	67	156	33	0
Source of Complaints																
Filed by Complainant	1,240	41	30	29	108	69	145	136	122	106	78	120	67	156	33	0
Identified by Circuit Chief Judges	16	0	0	0	5	0	0	9	1	0	0	1	0	0	0	0
Complainants²																
Prison Inmates	439	13	5	0	23	21	60	57	56	22	56	36	34	53	3	0
Lawyers	32	0	2	0	18	2	1	0	1	3	0	2	2	0	1	0
Litigants	778	28	15	29	73	44	86	94	64	79	22	85	26	103	30	0
Public Officials	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Judicial Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other	39	0	8	0	0	2	4	2	3	2	0	3	11	4	0	0
Judges Complained About																
Circuit Judges	299	25	8	11	44	14	28	25	25	22	16	26	22	33	0	0
District Judges	677	12	22	15	45	39	84	75	73	64	52	60	28	84	24	0
Court of International Trade Judges	2	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
Court of Federal Claims Judges	7	2	0	0	0	0	0	0	0	0	0	0	0	0	5	0
Bankruptcy Judges	41	0	0	1	7	5	7	0	3	2	0	9	1	5	1	0
Magistrate Judges	230	1	0	2	17	10	26	45	22	18	10	26	16	34	3	0
Nature of Allegations³																
Special Treatment for Friend or Relative	34	0	0	1	4	0	9	5	2	0	1	1	0	10	1	0
Acceptance of Bribe, Gift, or Favor	72	1	1	0	2	0	5	16	22	0	11	1	0	13	0	
Improper Ex Parte Communication with Party or Counsel	80	0	2	1	10	0	10	8	12	1	12	5	6	11	2	0
Partisan Political Activity or Statement	15	0	7	0	0	0	3	0	1	0	0	0	0	0	4	0
Soliciting Funds for Organization	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Improper Outside Income	2	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0

Table S-22
Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364
During the Period from 10/1/2019 to 9/30/2020

Summary of Activity	Circuits												National Courts			
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Financial Disclosure Violation	4	0	0	0	0	0	0	0	0	0	1	0	0	3	0	0
Unwanted, Abusive, or Offensive Sexual Conduct	15	1	0	0	0	0	0	0	0	0	11	0	0	3	0	0
Hostility Toward Litigant, Attorney, Judicial Employee, or Other	126	0	9	2	19	2	12	10	5	0	31	4	12	16	4	0
Hostile Work Environment	4	0	0	0	0	2	0	0	2	0	0	0	0	0	0	0
Discrimination on the Basis of Race, Color, Sex, or Other	159	1	3	1	35	2	23	21	17	0	32	7	6	8	3	0
Retaliation for Participating in Complaint Process	28	1	0	0	10	0	11	1	2	0	1	1	0	1	0	0
Refusal to Cooperate in Complaint Proceeding	3	1	0	0	2	0	0	0	0	0	0	0	0	0	0	0
Failure to Report or Disclose Misconduct or Disability	22	1	0	0	4	0	4	13	0	0	0	0	0	0	0	0
Conduct Outside of Official Duties	20	6	1	1	1	0	0	1	0	3	6	1	0	0	0	0
Related to Merits of Decision or Ruling	987	20	23	26	82	38	126	107	79	82	66	113	57	136	32	0
Delayed Decision	92	0	5	1	10	4	12	20	22	1	2	4	4	6	1	0
Other Misconduct	509	14	11	4	47	43	56	78	37	20	6	51	32	101	9	0
Disability	34	1	1	0	2	0	3	5	2	0	0	5	3	11	1	0
Actions Regarding Complaints																
Withdrawn	9	0	0	0	1	0	1	4	0	0	0	1	1	1	0	0
Complaint Withdrawn with Consent of Circuit Chief Judge	9	0	0	0	1	0	1	4	0	0	0	1	1	1	0	0
Withdrawal of Petition for Review	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Actions by Circuit Chief Judge																
Matters returned from Judicial Council or Judicial Conference Committee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaint Dismissed in Whole or in Part	860	41	31	14	44	62	122	138	14	71	65	99	37	109	13	0
Not Misconduct or Disability	24	0	0	1	0	1	12	5	0	1	0	4	0	0	0	0

Table S-22
Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364
During the Period from 10/1/2019 to 9/30/2020

Summary of Activity	Circuits											National Courts				
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Merits-Related	698	4	28	13	43	57	121	98	13	43	53	92	33	99	1	0
Frivolous	235	14	0	0	0	61	0	68	9	38	44	0	1	0	0	0
Allegations Lack Sufficient Evidence	548	15	26	7	19	62	118	79	4	2	34	53	24	102	3	0
Allegations Incapable of Being Established	3	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0
Filed in Wrong Circuit	45	35	0	0	0	0	0	0	0	0	0	0	0	0	10	0
Otherwise Not Appropriate	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
Complaint Concluded in Whole or in Part	13	0	0	0	0	0	1	3	1	0	2	0	1	5	0	0
Informal Resolution Before Complaint Filed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Voluntary Corrective Action Taken	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Intervening Events	13	0	0	0	0	0	1	3	1	0	2	0	1	5	0	0
Complaint Referred to Special Committee	3	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0
Actions by Special Committees																
Matter Returned From Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
New Matter Referred to Circuit Chief Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Judicial Council Proceedings																
Matter returned from Judicial Conference	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaint Transferred to/From Another Circuit	2	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0
Special Committee Reports Submitted to Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Received Petition for Review	435	5	7	11	22	34	76	101	21	40	27	18	17	54	2	0
Action on Petition for Review																

Table S-22
Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364
During the Period from 10/1/2019 to 9/30/2020

Summary of Activity	Circuits											National Courts				
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Additional Investigation Warranted																
Returned to Special Committee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Retained by Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Received From Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaints Terminated by Final Action Between 10/1/2019 and 9/30/2020	860	43	14	14	44	59	124	160	18	80	51	76	52	112	13	0
Complaints Pending on 9/30/2020	658	0	17	29	90	28	56	19	126	34	36	62	51	90	20	0

CC = U.S. Court of Federal Claims; CIT= U.S. Court of International Trade.

¹ Revised.

² Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

³ Changes to the items appearing under "Allegations in Complaint" reflect recent amendments to the Rules for Judicial-Conduct and Judicial-Disability Proceedings and track the Rules language and provisions directly.